



APPLICATION TO OPEN A CORPORATE ACCOUNT

Board Resolution *

I (Name): _____

Director of: _____ (the "Company")

Following a Board meeting of Directors of the Company held on

Date: _____

Certify that:

1. Each of the applicant's directors has reviewed and considered the risks associated with the financial products offered;
2. The board approves the transactions contemplated by completion of this Account Application and agrees to be governed by the associated legal documents;
3. This Application Form has been properly executed by the applicant;
4. The board authorises one or more directors to sign any document in connection with the operation of the Account and to give any oral or written instruction in relation to the Account including, but not limited to, instructions to enter into transactions on behalf of the applicant.
5. There is no legal or other reason why the Company should not conduct business in the financial products offered.

Company Information

Name of Company*: _____

Registered Address*: _____

_____ Postcode*: _____

Date of Incorporation*: _____

Country of Incorporation*: _____

ACN or international equivalent*: _____

ABN: _____

LEI Number: _____



Identification of Sole Director/Director 1

We will verify a Director's identity before trading can occur on the account. To do this we will use a combination of electronic identification methods and documents where necessary.

Full Name*: _____

Residential Address*: _____

_____ Postcode*: _____

Date of Birth*: _____

Driving Licence: _____

Email address*: _____

Contact Phone number*: _____

Identification of Director 2

Full Name: _____

Residential Address: _____

_____ Postcode: _____

Date of Birth: _____

Driving Licence: _____

Email address: _____

Contact Phone number: _____

Identification of Shareholder

We are required to verify all individuals who hold a significant interest in the Corporation. We do this by verifying shareholders who hold more than 25% ownership of the Corporation. Please list the particulars of all shareholders who hold more than a 25% stake and who are not already listed as Directors.

Full Name: _____

Residential Address: _____



_____ Postcode: _____

Date of Birth: _____

Driving Licence: _____

Email address: _____

Contact Phone number: _____

Identification of Shareholder

Full Name: _____

Residential Address: _____

_____ Postcode: _____

Date of Birth: _____

Driving Licence: _____

Email address: _____

Contact Phone number: _____

If there are more than two shareholders who hold a stake of more than 25% and have not already been listed as a Director please reprint this page and fill in the additional information.

Financial Details of Sole Trader/Director 1

Approximate Annual
Income*: _____

Approximate Value of
Investments*: _____

Source of Funds (Salary or Savings or
both)*: _____



Trading Platform

Enter your desired
username*: _____

How did you hear about us?*: _____

Supporting Documentation

ASIC requires us to assess Australian applicants to assess whether they are qualified to trade our products. Please access the following url: <https://tradedirect365.com.au/cqq/>, complete your name and email, and the 10 questions and press submit. Your answers will be received and assessed with your application.

Furthermore, the following supporting documentation is required to accompany a completed Application Form in order for an Account to be processed:

- A copy of a recent bank statement (within the last 90 days)
- A copy of Incorporation Certificate or Business Registration Certificate
- A signed and witnessed Corporate Guarantee and Indemnity declaration (as below)
- Director's identities will be verified electronically using:
- (One item from List A to verify photographic identity against date of birth and a document to verify name against current residential address. If the document provided from List A details both date of birth and address, it will be sufficient. If not, a separate document is required from List B. A scanned coloured copy or a photo taken by a smartphone will be acceptable.)

List A - all of which must not have expired

- Passport
- Driver's Licence
- National Identity Card
- Other Government issued photo ID

List B - dated within the last three months

- Utility Bill (For the avoidance of doubt, PDFs printed from the internet are fine)
- Notice of assessment issued by the taxation authority.
- Other documentary proof of address issued by a public body, including local council.
- Bank or Credit Card statement



Director as guarantor

Full Name of guarantor*: _____

All fields marked with an asterisk () are required

Declarations *

Please mark with a cross to acknowledge each declaration.

I understand the agreement I am entering into with TD 365. I confirm that I have read, understood and agree with the Client Agreement, the Financial Services Guide, and the Product Disclosure Statement.

I understand the nature and risks associated with trading CFDs. I confirm that I have read, understood and agree with the Risk Warning.

I acknowledge that it is an offence under the Anti-Money Laundering/Counter-Terrorism Financing Act 2006 to provide a false or misleading statement or document, to receive an account in a false name or to fail to disclose any other name I am known by.

I understand I am consenting to TD 365 using my name, address and date of birth to verify my identity electronically with a credit reporting agency, and obtaining an assessment of whether this matches information on my credit information file.

I consent that TD 365 may pay referring third parties compensation for introducing me as a client, based on my trading volume. The fee is taken out of the commission or spread charged by TD 365 and does not impact my trading costs.

I confirm that I am aged 18 years or over and that the information provided by me in this form is complete and correct.

Signature (Sole Director/Director1) _____ Date: _____

Signature (Director2) _____ Date: _____



Corporate Guarantee and Indemnity declaration*

As the Guarantor of _____(Name of Company) I agree:

1. To unconditionally and irrevocably guarantee the due and prompt payment of all monies and liabilities that become due and payable under the Client Agreement and the observance of all other obligations of the Client under the Client Agreement. If the Client fails, for any reason, to pay any amount guaranteed above when due, I shall, as principal guarantor, pay that amount to TD 365 on receiving its first written demand and I further agree to indemnify TD 365 and keep TD 365 indemnified against all loss and damage incurred by TD 365 by reason of the failure of the Client to fulfil any of its obligations under the Client Agreement.
2. That a certificate in writing signed by TD 365 stating the amount at any particular time due and payable by me hereunder shall be conclusive evidence of such payment obligation.
3. That my liability under this declaration will only be discharged once TD 365 has received payment of all monies owed under this declaration in full. It will not be discharged by any other action, omission or fact and therefore shall not be affected by events such as, but not limited to, TD 365 giving time or any other indulgence to the Client, the winding-up or re-organisation of the Client, any amendment from time to time to the Client Agreement, the Client acting or purporting to act beyond the power conferred upon it by its constitution or Memorandum or without the authority of its Board of Directors or otherwise.
4. That in any litigation relating to this declaration, I shall waive the right to interpose any defence based upon any statute of limitations or any claim of set-off or counter-claim of any nature.
5. That I have not relied on any warranty or representation (whether oral or otherwise) made by or on behalf of TD 365 to induce me to enter this declaration and that I have made and will continue to make, without reliance on TD 365, my own independent investigation of the financial condition and affairs of the Client and assessment of the creditworthiness of the Client and further acknowledge that TD 365 has no present or future duty or responsibility to provide me with any information relating to the financial condition or affairs of the Client.
6. To provide TD 365 with such information and copies of such documents as are reasonably requested by TD 365 for the purposes of TD 365 determining whether to enter into, or to continue to rely on, this declaration including, without limitation, evidence showing that I have sufficient funds with which to guarantee the obligations of the Client.

Signature
(Guarantor) _____ Date: _____

In the presence of (Printed Name of
Witness) _____

Signature
(Witness) _____ Date: _____



Once completed, please scan and email your completed application form and the supporting documents to:

Support@TradeDirect365.com.au

Any further questions free-call support on: 1800 886 514

Please ensure you have also attached the following:

- A copy of incorporation certificate or business registration certificate



- A copy of a recent bank statement (within the last 90 days)



- A signed and witnessed Corporate Guarantee and Indemnity declaration

